Date __ / __ / ____

Saathi Notebooks

Chapter : 2

Various Market participants and their roles

Different Market participants:

• **Issuers:**

Issuers are those categories of people ~~are~~ or corporates who issue their securities or instruments to float in the market. They can be corporate or government which issue different kinds of bonds.

• **Regulators:**

It regulate the entire Indian capital market and keep a check on the overall systems.

• **Intermediaries:**

It connect the investors and the issuers who trade in the capital markets through a recognised exchange. They may be brokers or the investment bankers etc.

• **Investors:**

They invest their savings who invest their savings in the different securities issued by the issuers.

Indian capital market ⟶ Different players

• Market — * primary
* secondary

• Instruments — * Equity
* Hybrid
* Debt

• Intermediaries — * Brokers
* Investment bankers
* Stock exchanges
* Underwriter

• Regulators — * SEBI

Different players:
• CRA
• corporates
• Intermediaries
• Individuals
• Bank
• FI / FDI / FII (Financial Institutions / Foreign direct Investment / Foreign Institutional Investment)

i) **Intermediaries Brokers:** They charge a fee to handle trades between buyers and sellers.